

PATENT:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PECKNEL MIS CENTER 2000

Applicant: Brian N. Tufte

Serial No.: 10/074,362 Examiner: Negron, Ismael

Filing Date:

February 12, 2002

Group Art Unit: 2875

For:

LIGHTING APPARATUS

Docket No.:

1076.1101106

TRANSMITTAL SHEET

Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1460, Alexandria, VA/2313-1450,

on this 6th day of May 2003

Brian N. Tufte

We are transmitting herewith the attached:

Two (2) Certifications for Information Disclosure Statements. [X]

Two (2) Information Disclosure Statements, Form PTO-1449s and 9 cited [X]

references and 22 cited references.

Please charge any deficiencies or credit any overpayment in the enclosed fees to [X]

Deposit Account No. 50-0413.

Brian N. Tuffte, Reg. No. \$8,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402 Telephone: (612) 677-9050

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(612) 359-9349



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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<u>CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.97(e)</u>

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

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on this 6th day/of May 2003

By:

Brian N. Tuft

Dear Sir:

- 1. This certification is being made for the Information Disclosure Statement accompanying this certification.
 - 2. I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).

Respectfully submitted,

Dated: Wky 6, 2005

Brian N. Tufte, Reg. No. 38,638

CROMPTON, SHAGER & TUFTE, LLC

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Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,

on this 6th day of May 12001

M. W. Wi

Brian N. Tufte

Dear Sir:

- 1. This certification is being made for the Information Disclosure Statement accompanying this certification.
 - 2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to an6y

individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

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Brian N. Tufte, Reg. No. 38,638

Respectfully submitted,

CROMPTON, SEAGER & TUFTE, LLC

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UNITED STATES PATENT AND TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class/mail in an envelope addressed to the: Commissioner for Patents, PO Box 1/450, Alexandria NA 22313-1450,

on this 6th day of May

Brian N. Tufte

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Dated: 1/1/2 10 100

Brian N. Tufte, Reg. No. 38,638

Respectf/flly/submit

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